

Quantum Trades

Next-Gen Wealth Tech Platform for Advisors

Unlock option overlays with a rules-first, AI-powered approach



Simplify



Personalize



Scale

Complex derivatives made simple. Compliance built-in. Personalized at scale.

The Advisor Challenge

Massive client assets remain exposed, missing consistent cash flow.

Concentration Risk

Options Expertise & Experience required .
Most advisors opt out entirely.

Fragmented Tooling

Excel + broker screens + manual journals.
Multiple toolsets etc.

Compliance Risk

Undocumented intent, inconsistent rules,
no audit trail.

Our Platform Solution

Turn Complexity into Simplicity with One-Click Personalization

**Automated Hedging &
Income Strategies**

Policy-Driven Execution

**AI Insights – All in one
Platform**

7-10%

Advisor Growth Rate
vs 3% industry average

60%+

Time Savings
On derivative processes

2-6%

**Annual Income
Enhancement**
Through covered
calls

Traction & Milestones

Validated. Live. Capital-efficient.

2 yrs

Validated via own
SEC/FINRA firm

\$390M

AUM Live
(2 firms onboarded)

\$7.2B

AUM Contract-
in-Progress

\$0

Sales & Marketing
spend (pure FLG)

200+

Custodian
integrations (FIX)

B2B — Advisory Firms

Live 3 advisory firms onboarded · \$390M AUM

Signed 1 firm signed, onboarding in progress

Late-stage 5 named prospects · \$8.8B AUM exposure

Strategic Advisor Logistics · \$7.5B AUM (indirect)

Event Strong reception at Future Proof Miami

B2C — Retail (Proof of Model · 2025)

28

Paying retail customers

\$4M

AUM

100%

Converted to pre-seed investors

10

Brokerages integrated

17–44%

YTD 2025 customer returns

Active Pipeline

5 named prospects · \$8.8B combined AUM · Schwab-heavy

Hot Warm Early

Advisor Logistics \$7.5B AUM (indirect) · 37 advisors · Licensing play	Negotiation
Solist Financial \$150M AUM · 2 advisors · Schwab (zero-cost collar automation)	POC
Core Planning \$200M AUM · 7 advisors · Schwab + Altruist	Contract
JJ Burns & Co. \$1.07B AUM · 2,064 accounts · Schwab	Evaluation
Rosen Group \$600M AUM · 1,500 accounts · Christine Cao (PM)	Discovery

BEYOND NAMED ACCOUNTS	12 hot leads	65 warm leads	\$8.8B+ AUM exposure
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Our Target Market

Target Customer: Small + Mid-size RIA Firms

PRIMARY TARGET

25,000

Small Firms

~\$1.7T total AUM

~\$68M avg per firm

Definition: \$50M – \$250M AUM

PRIMARY TARGET

15,000

Medium Firms

~\$3.8T total AUM

~\$253M avg per firm

Definition: \$250M – \$2B AUM

FUTURE TARGET

3,000

Large Institutional

~\$7.3T total AUM

~\$2.4B avg per firm

Definition: \$2B+ AUM

43,000 total firms · 110K – 220K advisors · \$12T+ AUM — underserved by both retail tools and institutional players

Pricing

3-layer model · AUM-tiered base · accounts + features scale up

LAYER 1 · PLATFORM BASE (by AUM tier) · includes 2 users + 50 accounts

Firm AUM	Monthly	Annual	Best for
<\$100M	\$499	\$5,988	Small RIA pilots
\$100M – \$500M	\$999	\$11,988	Most mid-market RIAs
\$500M – \$1B	\$1,999	\$23,988	Growing firms
>\$1B	Custom	Custom	Enterprise negotiation
+ Additional users: Users 3-5: \$250/mo · Users 6-10: \$200/mo · Users 11+: \$150/mo			

LAYER 2 · ACCOUNT VOLUME · beyond first 50

First 50 accounts	\$0 — included in base
Accounts 51-200	\$25 / account / mo
Accounts 201-500	\$15 / account / mo
Accounts 501+	\$10 / account / mo

LAYER 3 · SMART SUITE · add-on bundles

Smart Suite
+\$750 / mo

Smart DTE · Profit Exit
Event-Aware · IV-Percentile

Smart Suite Pro
+\$1,500 / mo

All Smart Suite + Multi-tranche
Risk Score · Tax Loss Harvester

SAMPLE · Mid-Market RIA

\$250M AUM · 600 accounts · 2 users + Smart Suite

\$132K / year

= 5.3 bps on AUM · vs 15–35 bps industry benchmark

Execution mode (Manual / Semi / Auto) included free

Competitive Analysis

Positioned between competitor providers

Provider	Target Market	Pricing Model	Minimum	Implementation
Quantum Trades	Mid-Market RIAs	Competitive pricing	\$5M AUM	2-4 weeks
Parametric (MS)	HNW/UHNW	0.20-0.75% AUM	\$100M AUM	4-8 weeks
Spider Rock (BLK)	Institutional	5-15 bps notional	\$100M AUM	2-6 months
NISA Investment	Large Institutions	Custom Enterprise	\$500M+	8-12 months

Our Competitive Advantages

No derivative Platform for Small & Medium advisory firm

No account minimums (\$100M+ competitors)

60% lower cost than institutional providers

Full platform integration (vs. standalone tools)

Total Addressable Market (TAM)

\$349M-\$543M combined opportunity across dual targets

Segment	Firm Count	Avg Tech Budget	Derivatives %	Annual TAM
Small RIAs (\$50-250M)	25,000	\$50K	10-15%	\$125M-187M
Mid-Market (\$250M-2B)	15,000	\$125K	10-15%	\$187M-281M
Large RIAs (\$2B+)	3,000	\$250K	5-10%	\$37M-75M
TOTAL TAM	43,000	-	-	\$349M-543M

Potential

1%

Market Penetration

400

Target Firms

\$850M

Realistic TAM

\$3.4M ARR

Market Growth Drivers

Derivatives market: 8.6% CAGR to \$64B by 2033

20%+ clients have concentration risk

35%+ portfolios generating only dividends

Tech-enabled advisors grow 7-10% vs 3%

Revenue Growth

B2B scale unlocking exponential growth

\$21K ARR in 2025

**\$ 500K (Projected by end of
2026) - 15x**

The Ask

\$1M SAFE · \$10M cap · 25% discount

FUNDING GOAL

\$1M

to hit \$1M ARR within 12 months

INVESTMENT VEHICLE

SAFE

Post-money standard terms

VALUATION CAP

\$10M

with 25% discount

USE OF FUNDS · \$1M

Platform development



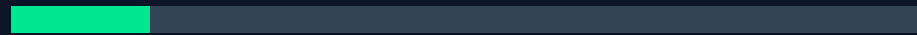
45%

Sales & marketing



25%

Regulation & compliance



15%

Operations + team



10%

Reserve



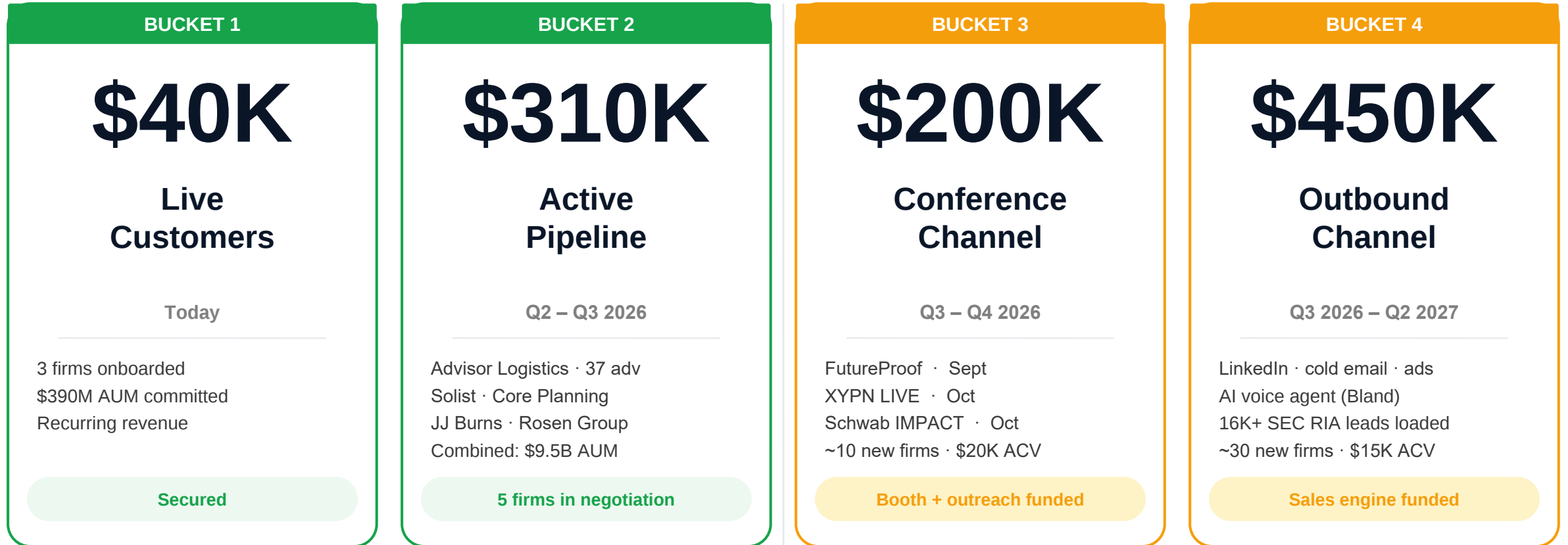
5%

Path to \$1M ARR

12 months · customers + acquisition channels · funded by this raise

CUSTOMERS (current + pipeline)

ACQUISITION CHANNELS (raise-funded)



TOTAL

\$1.0M ARR

~48 paying firms · \$16K blended ACV · ~48x growth from 2025

The Team

Jai Raju

Co-Founder & CEO

25+ years FinTech leadership.
Strategic vision and operational excellence.

Raj Budda

Co-Founder & CTO

14+ years blending IT and finance.
Technological visionary.

Dr. Nisarg K - Chief Strategy Consultant

Ph.D. in Trading Psychology, decade of
algorithmic trading mastery.

Anand Ramakotti - Board Executive

Ex-Tesla Executive. **Ex- Tekion** Co-
Founder, **Novatab** Founder & CEO.

Serial founder driving innovation across
ventures.

John Wiegley - Technology Advisor

Kadena CTO. Three decades of engineering
brilliance.

Ashok Rao - Board Executive

DotSolved – Founder

Founding leader. Two decades of Sales &
Marketing expertise.

Go-to-Market Strategy

Plug into existing platforms, not replace them

Direct to RIAs

Targeted outreach to small & mid-market firms

- Digital Marketing (LinkedIn, Meta etc.)
- Industry Conferences & Events
- Advisory Discovery Platform

Advisor Platform Market-Place

Integrate with popular Wealth-Tech Platforms

- Envestnet
- Orion
- Morningstar

Custodian Partnerships

Partner with Schwab, Fidelity for seamless execution

Thank You

Let's Build the Future of
Advisory Technology Together

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Empowering advisors to deliver institutional-grade strategies
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