Quantum Trades

Next-Gen Wealth Tech Platform for Advisors

Unlock option overlays with a rules-first, AI-powered approach

Simplify

Personalize

Scale

Complex derivatives made simple. Compliance built-in. Personalized at scale.

The Advisor Challenge

Massive client assets remain exposed, missing consistent cash flow.

Concentration Risk

Options Expertise & Experience required .
Most advisors opt out entirely.

Fragmented Tooling

Excel + broker screens + manual journals.
Multiple toolsets etc.

Compliance Risk

Undocumented intent, inconsistent rules,
no audit trail.

Our Platform Solution

Turn Complexity into Simplicity with One-Click Personalization

Automated Hedging & Income Strategies

Policy-Driven Execution

AI Insights – All in one Platform

7-10%

Advisor Growth Rate
vs 3% industry average

60%+

Time Savings
On derivative processes

2-6%

Annual Income Enhancement
Through covered calls

Traction & Milestones

Validated. Live. Capital-efficient.

2 yrs	$390M	$7.2B	$0	200+
Validated via own SEC/FINRA firm	AUM Live (2 firms onboarded)	AUM Contract-in-Progress	Sales & Marketing spend (pure FLG)	Custodian integrations (FIX)

B2B — Advisory Firms

Live	3 advisory firms onboarded · $390M AUM
Signed	1 firm signed, onboarding in progress
Late-stage	5 named prospects · $8.8B AUM exposure
Strategic	Advisor Logistics · $7.5B AUM (indirect)
Event	Strong reception at Future Proof Miami

B2C — Retail (Proof of Model · 2025)

28
Paying retail customers

$4M
AUM

100%
Converted to pre-seed investors

10
Brokerages integrated

17–44%
YTD 2025 customer returns

Active Pipeline

Hot · Warm · Early

5 named prospects · $8.8B combined AUM · Schwab-heavy

Advisor Logistics	$7.5B AUM (indirect) · 37 advisors · Licensing play	Negotiation
Solist Financial	$150M AUM · 2 advisors · Schwab (zero-cost collar automation)	POC
Core Planning	$200M AUM · 7 advisors · Schwab + Altruist	Contract
JJ Burns & Co.	$1.07B AUM · 2,064 accounts · Schwab	Evaluation
Rosen Group	$600M AUM · 1,500 accounts · Christine Cao (PM)	Discovery

BEYOND NAMED ACCOUNTS

12	65	$8.8B+
hot leads	warm leads	AUM exposure

Our Target Market

Target Customer: Small + Mid-size RIA Firms

PRIMARY TARGET

25,000

Small Firms

~$1.7T total AUM

~$68M avg per firm

Definition: $50M – $250M AUM

PRIMARY TARGET

15,000

Medium Firms

~$3.8T total AUM

~$253M avg per firm

Definition: $250M – $2B AUM

FUTURE TARGET

3,000

Large Institutional

~$7.3T total AUM

~$2.4B avg per firm

Definition: $2B+ AUM

43,000 total firms · 110K – 220K advisors · $12T+ AUM — underserved by both retail tools and institutional players

Pricing

3-layer model · AUM-tiered base · accounts + features scale up

LAYER 1 · PLATFORM BASE (by AUM tier) · includes 2 users + 50 accounts

Firm AUM	Monthly	Annual	Best for
<$100M	**$499**	**$5,988**	Small RIA pilots
$100M – $500M	**$999**	**$11,988**	Most mid-market RIAs
$500M – $1B	**$1,999**	**$23,988**	Growing firms
>$1B	**Custom**	**Custom**	Enterprise negotiation

+ Additional users: Users 3-5: $250/mo · Users 6-10: $200/mo · Users 11+: $150/mo

LAYER 2 · ACCOUNT VOLUME · beyond first 50

First 50 accounts	**$0 — included in base**
Accounts 51-200	**$25 / account / mo**
Accounts 201-500	**$15 / account / mo**
Accounts 501+	**$10 / account / mo**

LAYER 3 · SMART SUITE · add-on bundles

Smart Suite

+$750 / mo

Smart DTE · Profit Exit
Event-Aware · IV-Percentile

Smart Suite Pro

+$1,500 / mo

All Smart Suite + Multi-tranche
Risk Score · Tax Loss Harvester

SAMPLE · Mid-Market RIA

$250M AUM · 600 accounts · 2 users + Smart Suite

$132K / year

= 5.3 bps on AUM · vs 15–35 bps industry benchmark

Execution mode (Manual / Semi / Auto) included free

Competitive Analysis

Positioned between competitor providers

Provider	Target Market	Pricing Model	Minimum	Implementation
Quantum Trades	**Mid-Market RIAs**	Competitive pricing	**$5M AUM**	**2-4 weeks**
Parametric (MS)	HNW/UHNW	0.20-0.75% AUM	$100M AUM	4-8 weeks
Spider Rock (BLK)	Institutional	5-15 bps notional	$100M AUM	2-6 months
NISA Investment	Large Institutions	Custom Enterprise	$500M+	8-12 months

Our Competitive Advantages

No derivative Platform for Small & Medium advisory firm

No account minimums ($100M+ competitors)

60% lower cost than institutional providers

Full platform integration (vs. standalone tools)

Total Addressable Market (TAM)

$349M-$543M combined opportunity across dual targets

Segment	Firm Count	Avg Tech Budget	Derivatives %	Annual TAM
Small RIAs ($50-250M)	25,000	$50K	10-15%	**$125M-187M**
Mid-Market ($250M-2B)	15,000	$125K	10-15%	**$187M-281M**
Large RIAs ($2B+)	3,000	$250K	5-10%	$37M-75M
TOTAL TAM	**43,000**	**-**	**-**	**$349M-543M**

Potential

1%
Market Penetration

400
Target Firms

$850M
Realistic TAM

$3.4M ARR

Market Growth Drivers

Derivatives market: 8.6% CAGR to $64B by 2033

20%+ clients have concentration risk

35%+ portfolios generating only dividends

Tech-enabled advisors grow 7-10% vs 3%

Revenue Growth

B2B scale unlocking exponential growth

$21K ARR in 2025

$ 500K (Projected by end of 2026) - 15x

The Ask

$1M SAFE · $10M cap · 25% discount

FUNDING GOAL	INVESTMENT VEHICLE	VALUATION CAP
$1M	**SAFE**	**$10M**
to hit $1M ARR within 12 months	Post-money standard terms	with 25% discount

USE OF FUNDS · $1M

Platform development	45%
Sales & marketing	25%
Regulation & compliance	15%
Operations + team	10%
Reserve	5%

Path to $1M ARR

12 months · customers + acquisition channels · funded by this raise

CUSTOMERS (current + pipeline)		ACQUISITION CHANNELS (raise-funded)	
BUCKET 1	BUCKET 2	BUCKET 3	BUCKET 4
$40K	**$310K**	**$200K**	**$450K**
Live Customers	**Active Pipeline**	**Conference Channel**	**Outbound Channel**
Today	Q2 – Q3 2026	Q3 – Q4 2026	Q3 2026 – Q2 2027
3 firms onboarded $390M AUM committed Recurring revenue	Advisor Logistics · 37 adv Solist · Core Planning JJ Burns · Rosen Group Combined: $9.5B AUM	FutureProof · Sept XYPN LIVE · Oct Schwab IMPACT · Oct ~10 new firms · $20K ACV	LinkedIn · cold email · ads AI voice agent (Bland) 16K+ SEC RIA leads loaded ~30 new firms · $15K ACV
Secured	5 firms in negotiation	Booth + outreach funded	Sales engine funded

TOTAL **$1.0M ARR**

~48 paying firms · $16K blended ACV · ~48x growth from 2025

The Team

Jai Raju

Co-Founder & CEO

25+ years FinTech leadership. Strategic vision and operational excellence.

Raj Budda

Co-Founder & CTO

14+ years blending IT and finance. Technological visionary.

Dr. Nisarg K - Chief Strategy Consultant

Ph.D. in Trading Psychology, decade of algorithmic trading mastery.

Anand Ramakotti - Board Executive

Ex-Tesla Executive. **Ex- Tekion** Co-Founder, **Novatab** Founder & CEO.

Serial founder driving innovation across ventures.

John Wiegley - Technology Advisor

Kadena CTO. Three decades of engineering brilliance.

Ashok Rao - Board Executive

DotSolved – Founder

Founding leader. Two decades of Sales & Marketing expertise.

Go-to-Market Strategy

Plug into existing platforms, not replace them

Direct to RIAs

Targeted outreach to small & mid-market firms

- Digital Marketing (LinkedIn, Meta etc.)
- Industry Conferences & Events
- Advisory Discovery Platform

Advisor Platform Market-Place

Integrate with popular Wealth-Tech Platforms

- Envestnet
- Orion
- Morningstar

Custodian Partnerships

Partner with Schwab, Fidelity for seamless execution

Thank You

Let's Build the Future of **Advisory Technology Together**

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